



University Export Control Guide for Risk Management Services

1. Introduction to Export Controls

Export controls are U.S. laws and regulations that restrict the release of certain items, technologies, software, or technical data to foreign nationals, countries, or entities for reasons of national security, foreign policy, or economic protection. At UNT, this is managed by the Research Security and Export Control Officer (RSECO) to ensure all activities align with federal requirements. This guide is not intended to train you in Export Control, but rather to help you know when to reach out to the RSECO. All university export control questions or concerns must be directed to the UNT RSECO or the Office of Research Integrity and Compliance (ORIC.)

Key concepts:

- **Deemed Exports:** The release or sharing of technical data to a foreign person within the United States or abroad is considered a deemed export to that person's country of citizenship.
- **Fundamental Research Exclusion (FRE):** Basic research intended for public dissemination may be exempt, but this must be evaluated by the RSECO.
- **Restricted Parties:** Screening for denied persons, entities, or embargoed countries is required.
- **CUI:** Controlled Unclassified Information that requires some measure of safeguarding.
- **Classified Information:** Information that requires the highest level of security protection.
- **Empowered Official:** Vice President of Research and Innovation

Risk Management Services (RMS) may have oversight of, or involvement in process workflows that include research, international collaborations, travel, or shipments that trigger export control reviews.

2. Responsibilities for Risk Management Services

Risk Management Services play a critical role in maintaining compliance. Below are some key areas for your department where export control issues may arise:

International Travel: Risk Management Services manages all international travel registration and approvals and the International Risk Control Coordinator in RMS includes the RSECO on all International travel approval emails.

3. Interacting with the University Export Control Office (RSECO)

The RSECO is your primary resource for questions or concerns regarding export control and research security Here's how to engage effectively:

- **When to Contact the RSECO:**
 - Before initiating any international shipments of chemicals, technical equipment, samples, biological materials, to for from UNT, you must notify the export control officer.
 - If you observe anything of concern in labs where controlled work is being conducted (example: access control measures not being adhered to, technical data left unsecured, etc.)
 - If you or anyone you supervise have any questions regarding export control.
 - If you are made aware of ANY possible export control violations (inadvertent or intentional) you are required to report these to the RSECO as soon as possible so they can offer support and guidance and investigate if further action needs to be taken.
 - If the International Risk Control Coordinator managing international travel has questions or concerns about export control.
 - Continue to copy oric@unt.edu on all international travel approval emails.
- **Best Practices for Interaction:**
 - Provide detailed and timely information.
 - Reach out if you have any questions or concerns.

4. Failure to Comply

Failure to comply with UNT Export Control Policy 13.010 and applicable export control regulations may result in severe civil and criminal penalties for the University and individuals. Any individual who does not comply with this policy and applicable export control regulations may also be subject to disciplinary action up to and including termination, dismissal, or expulsion.

5. Resources and Contacts

Margaret “Meg” Cochran, UNT Research Security and Export Control Officer

- Email: Margaret.cochran@unt.edu | oric@unt.edu
- Website: <https://research.unt.edu/support-units/research-integrity-and-compliance/export-control.html>

Additional Resources:

- University Export Control Policy: <https://policy.unt.edu/policy/13-010>
- Bureau of Industry and Security EAR information: <https://www.bis.gov/regulations>
- Department of State ITAR information: <https://www.pmddtc.state.gov/>
- Training: available in CITI: <https://about.citiprogram.org/>

For any questions or to request a personalized consultation or training, contact the RSECO.